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| APPLICATION NO.       | FILING DATE   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-----------------------|---------------|----------------------|---------------------|------------------|--|
| 10/635,489 08/07/2003 |               | Mao-Yi Chang         | CHAN3214/EM         | CHAN3214/EM 1409 |  |
| 23364 75              | 90 09/12/2005 |                      | EXAM                | INER             |  |
| BACON & THOMAS, PLLC  |               |                      | VU, DAVID           |                  |  |
| 625 SLATERS LANE      |               |                      |                     |                  |  |
| FOURTH FLOOR          |               |                      | ART UNIT            | PAPER NUMBER     |  |
| ALEXANDRIA, VA 22314  |               |                      | 2818                |                  |  |

DATE MAILED: 09/12/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

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|   | Application No.   | Applicant(s)                 |  |  |  |  |
|---|---|------------------------------|--|--|--|--|
| Office Action Commence  | 10/635,489  | CHANG ET AL.                 |  |  |  |  |
| Office Action Summary   | Examiner  | Art Unit                     |  |  |  |  |
|   | DAVID VU  | 2818                         |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |   |                              |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                              |  |  |  |  |
| Status  |   |                              |  |  |  |  |
| 1) Responsive to communication(s) filed on 20 Ju  | ne 2005.  | ·                            |  |  |  |  |
| 2a)⊠ This action is FINAL. 2b)☐ This  | action is non-final.  |                              |  |  |  |  |
| 3) Since this application is in condition for allowan   | ce except for formal matters, pro                                       | secution as to the merits is |  |  |  |  |
| closed in accordance with the practice under E  | x parte Quayle, 1935 C.D. 11, 45  | 3 O.G. 213.                  |  |  |  |  |
| Disposition of Claims   |   |                              |  |  |  |  |
| 4) Claim(s) 1-6 and 8-11 is/are pending in the app  | lication.   |                              |  |  |  |  |
| 4a) Of the above claim(s) $\underline{7}$ is/are withdrawn fro  | 4a) Of the above claim(s) <u>7</u> is/are withdrawn from consideration. |                              |  |  |  |  |
| 5) Claim(s) is/are allowed.   | 5) Claim(s) is/are allowed.   |                              |  |  |  |  |
| 6)⊠ Claim(s) <u>1-6 and 8-11</u> is/are rejected.   |   |                              |  |  |  |  |
| 7) Claim(s) is/are objected to.   |   | •                            |  |  |  |  |
| 8) Claim(s) are subject to restriction and/or   | election requirement.   |                              |  |  |  |  |
| Application Papers  |   |                              |  |  |  |  |
| 9) The specification is objected to by the Examine  | r.  |                              |  |  |  |  |
| 10)⊠ The drawing(s) filed on <u>07 August 2003</u> is/are:  | a)⊠ accepted or b)□ objected t  | o by the Examiner.           |  |  |  |  |
| Applicant may not request that any objection to the o   | frawing(s) be held in abeyance. See                                     | 37 CFR 1.85(a).              |  |  |  |  |
| Replacement drawing sheet(s) including the correcti   |   |                              |  |  |  |  |
| 11)☐ The oath or declaration is objected to by the Ex   | aminer. Note the attached Office  | Action or form PTO-152.      |  |  |  |  |
| Priority under 35 U.S.C. § 119  |   |                              |  |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>   |   |                              |  |  |  |  |
|   |   |                              |  |  |  |  |
| Attachment(s)   |   |                              |  |  |  |  |
| 1) Motice of References Cited (PTO-892) 2) Dotice of Draftsperson's Patent Drawing Review (PTO-948)   | 4) Interview Summary (<br>Paper No(s)/Mail Da                           |                              |  |  |  |  |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date  |   | atent Application (PTO-152)  |  |  |  |  |

#### **DETAILED ACTION**

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

1. Claims 1-6, 8, 9 and 11 are rejected under 35 U. S. C. 102(e) as being anticipated by Voutsas (US Pat. 6,649,032).

Voutsas discloses a method for transforming an amorphous silicon layer into a polysilicon layer, comprising: providing an amorphous silicon layer 12, and doping amorphous silicon layer with an inert gas atom (Ar) (See Abstract and col. 4, lines 16-19 & lines 37-39), wherein amorphous silicon layer comprising an amorphous silicon buffer layer and an amorphous silicon layer (col. 2, lines 40-49); and heating the surface of amorphous silicon substrate by excimer a laser process.

2. Claims 1, 2, 5, 8, 9 and 11 are rejected under 35 U. S. C. 102(e) as being anticipated by Gosain et al. (US Pat. 6,645,837, herein after Gosain).

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Gosain discloses a method for transforming an amorphous silicon layer into a polysilicon layer, comprising: providing an amorphous silicon layer 12, and doping amorphous silicon layer with an inert gas atom (He), wherein amorphous silicon layer 12 comprising a buffer layer 12 and an amorphous silicon layer 12 (col. 3, lines 27-39); and heating the surface of amorphous silicon substrate by irradiating a laser process (col. 6, lines 1-35). The amorphous layer 12 of Gosain can be considered to consist of two layers with the same composition (amorphous silicon layer). When a layer of amorphous material is depostied upon a layer of the same material, there is no detectable boundary between these layers. This is due to the fact that amorphous materials do not contain crystal grain boundaries. In other words, when looking at a cross-section of these two layers, one cannot determine where one layer ends and another begins. An amorphous layer can be deposited as one layer or a stack of multiple thin layers. However, the end product will be the same. In other words, "amorphous silicon buffer layer" and "amorphous silicon layer" are merely broad limitations that encompass amorphous silicon layers.

3. Claims 1-6 and 8-10 are rejected under 35 U. S. C. 102(e) as being anticipated by Yamazaki et al. (US Pat. 6,812,081, herein after Yamazaki).

Regarding claim 1, Yamazaki discloses a method for transforming an amorphous silicon layer into a polysilicon layer, comprising: providing an amorphous silicon layer, and doping amorphous silicon layer with an inert gas atom; and heating the surface of amorphous silicon substrate by heat treatment or thermal process (col. 2, lines 40-51; col. 4, line 66 through col. 5, line 11). The amorphous layer of Yamazaki can be considered to consist of two layers (amorphous silicon buffer layer and amorphous silicon layer) with the same composition

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(amorphous silicon layer). When a layer of amorphous material is depostied upon a layer of the same material, there is no detectable boundary between these layers. This is due to the fact that amorphous materials do not contain crystal grain boundaries. In other words, when looking at a cross-section of these two layers, one cannot determine where one layer ends and another begins. An amorphous layer can be deposited as one layer or a stack of multiple thin layers. However, the end product will be the same. In other words, "amorphous silicon buffer layer" and "amorphous silicon layer are merely broad limitations that encompass amorphous silicon layers.

Regarding claim 2, Yamazaki discloses that inert gas atom is selected from a group consisting of helium, neon, argon, krypton, xenon (col. 7, lines 42-59).

Regarding claim 3, Yamazaki discloses that inert gas atom is argon (col. 2, lines 1-5 & 42-43).

Regarding claim 4, Yamazaki discloses the atom percentage of inert gas atom in amorphous silicon layer is 0.006 (in the range of from 1 to 0.001) {Yamazaki discloses in col. 2, lines 45-46 that the concentration of Ar in the amorphous silicon layer is  $3x10^{20}$ /cm<sup>3</sup>; therefore  $3x10^{20}$ /cm<sup>3</sup> (Ar)/  $5x10^{22}$ /cm<sup>3</sup> (Si) = 0.006}.

Regarding claims 5-6, Yamazaki discloses that inert gas atom is doped by plasma chemical vapor deposition (col. 7, lines 60-67 & 22-27).

Regarding claim 8, Yamazaki discloses that polysilicon substrate is a panel of a liquid crystal display (col. 24, lines 8-14).

Regarding claim 9, Yamazaki discloses that heat treatment is an excimer laser annealing (col. 8, lines 56-59).

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Regarding claim 10, Yamazaki discloses that the process window of excimer laser is in the range of from 100 to 400 mJ/cm<sup>2</sup> (col. 13, line 66 through col. 14, line 1).

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### Response to Arguments

Applicant's arguments with respect to claims 1-6 and 8-11 have been considered but are 4. moot in view of the new ground(s) of rejection.

### Conclusion

- 5. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a). A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.
- Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Vu whose telephone number is (571) 272-1798. The examiner can normally be reached on Monday-Friday from 8:00am to 5:00pm. If attempt to

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reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Nelms can be reached on (571) 272-1787. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR, Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

David Vu

September 06, 2005.